

## ANNEX 1. Environmental and Social Screening Form

### **Introduction:**

KOIRAP E&S Screening procedure comprises of two stages-process: (1) Initial screening by using the Exclusion List which is applied as part of the Project’s Eligibility Criteria; and (2) Screening the submitted proposals to identify approach for E&S risk management. This Screening Form is to be used only for the **second stage** of screening process.

The completed forms will be signed and kept in the Project ESF file and included in the implementation progress report to be submitted to World Bank.

### **Screening Form:**

#### **1. Subproject detail:**

<b>Subproject Name</b>	
<b>Subproject Location</b>	
<b>Subproject Proponent</b>	
<b>Estimated Investment</b>	
<b>Start/Completion Date</b>	

**Guidance to complete Section 1:** Most of information can be obtained from the submitted Application Forms prepared by the proponent.

#### **2. Environmental and Social Screening Questionnaires**

Questions	Answer		Next Steps
	Yes	No	
<b>ESS1</b>			
1. Is the subproject likely to have significant adverse environmental impacts that are sensitive and unprecedented that trigger the ‘Ineligible Activities’ and exclusion?			If “Yes”: Exclude from project  If “No”: Proceed to next question
2. Does the subproject involve large-scale <sup>18</sup> civil works including renovation of existing buildings, upgrading or rehabilitation of public infrastructure (roads, drainage, culverts), construction of new water supply systems (e.g., infiltration galleries, rainwater harvesting, etc.)?			If “Yes”: 1. MIA-PIU to prepare an ESMP for the proposed subproject 2. Contractor to include E&S risk management measures in contract documents and technical procedure for construction works  If “No”: Proceed to next question
3. Will the large-scale construction or renovation works require new borrow pits or quarries to be opened?			If “Yes”: 1. MIA-PIU to prepare and ESMP for the proposed subproject 2. MIA-PIU to acquire mining and environmental licenses during pre-construction phase 3. As an alternative, MIA-PIU to consider alternative of sourcing the materials (e.g. importing, etc.) 4. Contractor to include E&S risk management measures in contract documents and technical procedure for construction works  If “No”: Proceed to next question

<sup>18</sup> Large-scale civil works refer to activities or subprojects that will be constructed by national contractors

4. Does the subproject involve small-scale <sup>19</sup> civil works including renovation of existing buildings, upgrading or rehabilitation of public infrastructure (roads, drainage, culverts)?		<p>If “Yes”: Island Councils to ensure relevant ESCOP is included in the subproject documents.</p> <p>If “No”: Proceed to next question</p>
<b>ESS2</b>		
5. Does the subproject involve uses of goods and equipment involving forced labor, child labor, or other harmful or exploitative forms of labor?		<p>If “Yes”: Exclude from project</p> <p>If “No”: Proceed to next question</p>
6. Does the subproject involve recruitment of workforce including direct, contracted, primary supply, and/or community workers?		<p>If “Yes”: Refer and apply LMP</p> <p>If “No”: Proceed to next question</p>
7. Are workers provided with adequate PPE relative to the potential risks and hazards associated with their work?		<p>If “Yes”: Refer and apply LMP</p> <p>If “No”: Proceed to next question</p>
8. Is there a risk that women may be underpaid when compared to men when working on the project construction?		<p>If “Yes”: Refer and apply LMP</p> <p>If “No”: Proceed to next question</p>
9. Does the project may lead to any risks and impacts on, individuals or groups who, because of their particular circumstances, may be disadvantaged or vulnerable. <sup>20</sup>		<p>If “Yes”: Refer and apply LMP</p> <p>If “No”: Proceed to next question</p>
<b>ESS3</b>		
10. Is the project likely to generate solid or liquid waste that could adversely impact soils, vegetation, rivers, streams or groundwater?		<p>If “Yes” and works to be done by contractors; then:</p> <ol style="list-style-type: none"> <li>1. MIA-PIU to prepare and ESMP for the proposed subproject</li> <li>2. Contractor to include E&amp;S risk management measures in contract documents and technical procedure for construction works</li> </ol> <p>If “Yes” and works to be done by Island Councils / Community; then: MIA-PIU to ensure relevant ESCOP is incorporated into subproject documents</p> <p>If “No”: Proceed to next question</p>
11. Are any of the construction works involve the removal of asbestos or other hazardous materials?		<p>If “Yes”: Refer and apply Asbestos Protocol (Annex 8) and relevant ESCOP</p> <p>If “No”: Proceed to next question</p>
12. Are works likely to cause significant negative impacts to air and / or water quality?		<p>If “Yes” and works to be done by contractors; then:</p> <ol style="list-style-type: none"> <li>1. MIA-PIU to prepare and ESMP for the proposed subproject</li> <li>2. Contractor to include E&amp;S risk management measures in contract</li> </ol>

<sup>19</sup> Small-scale civil works refer to activities or subprojects that will be constructed by local communities (through Island Councils), no contractors involved

<sup>20</sup> “Disadvantaged or vulnerable” refers to those individuals or groups who, by virtue of, for example, their age, gender, ethnicity, religion, physical, mental or other disability, social, civic or health status, sexual orientation, gender identity, economic disadvantages or ethnic peoples status, and/or dependence on unique natural resources, may be more likely to be adversely affected by the project impacts and/or more limited than others in their ability to take advantage of a project’s benefits.

		documents and technical procedure for construction works  If “Yes” and works to be done by Island Councils / Community; then: MIA-PIU to ensure relevant ESCOP is incorporated into subproject documents  If “No”: Proceed to next question
13. Is the activity rely on existing infrastructure (such as discharge points) that is inadequate to prevent environmental impacts?		If “Yes” MIA-PIU to prepare an ESMP for the proposed subproject. The ESMP may include a provision for an E&S audit, if potential impacts are significant.
<b>ESS4</b>		
14. Is there a risk of increased community exposure of the to communicable disease (such as COVID-19, HIV/AIDS, Malaria), or increase the risk of traffic related accidents?		If “Yes”: Refer and apply LMP  If “No”: Proceed to next question
15. Is an influx of workers, from outside the community, expected? Would workers be expected to use health services of the community? Would they create pressures on existing community services (water, electricity, health, recreation, others?)		If “Yes”: Refer and apply LMP  If “No”: Proceed to next question
16. Is there a risk that SEA/SH may increase as a result of project works?		If “Yes”: Refer and apply LMP  If “No”: Proceed to next question
17. Would any public facilities, such as schools, health clinic, church be negatively affected by construction?		If “Yes”: Refer and apply LMP  If “No”: Proceed to next question
<b>ESS5</b>		
18. Does the subproject involve involuntary taking of land and / or assets?		If “Yes”: Exclude from project  If “No”: Proceed to next question
19. Does the subproject involve physical and / or economic displacement of people		If “Yes”: Exclude from project  If “No”: Proceed to next question
20. Is the land required for the subproject activity a government land?		If Yes: Work with Land Management Division, MELAD to transfer land to MIA  If “No”: Proceed to next question
21. Is the land required for the subproject activity a communal land?		If Yes: Work with Land Management Division, MELAD to follow the Lands Code and lease land through a voluntarily negotiated agreement at market value. Ensure that no physical or economic displacement occurs as a result of land use.  If “No”: Proceed to next question.
<b>ESS6</b>		
22. Does the subproject involve activities that have potential to cause any significant loss or degradation of critical natural habitats <sup>21</sup> whether directly or indirectly, or which would lead to adverse impacts on natural habitats?		If “Yes”: Exclude from project  If “No”: Proceed to next question

<sup>21</sup> Critical natural habitats such as legally protected, officially proposed for protection, identified by authoritative sources for their high conservation value, or recognized as protected by traditional local communities.

23. Will the project involve the conversion or degradation of non-critical natural habitats?		<p>If "Yes" and works to be done by contractors; then:                      1. MIA-PIU to prepare and ESMP for the proposed subproject                      2. Contractor to include E&amp;S risk management measures in contract documents and technical procedure for construction works</p> <p>If "Yes" and works to be done by Island Councils / Community; then: MIA-PIU to ensure relevant ESCOP is incorporated into subproject documents                      If "No": Proceed to next question</p>
24. Will this activity require clearance of mangroves?		<p>If "Yes": Exclude from project</p> <p>If "No": Proceed to next question</p>
25. Will this activity require clearance of trees, including inland natural vegetation?		<p>If "Yes" and works to be done by contractors; then:                      1. MIA-PIU to prepare and ESMP for the proposed subproject                      2. Contractor to include E&amp;S risk management measures in contract documents and technical procedure for construction works</p> <p>If "Yes" and works to be done by Island Councils / Community; then: MIA-PIU to ensure relevant ESCOP is incorporated into subproject documents                      If "No": Proceed to next question</p>
26. Will there be any significant impact on any ecosystems of importance (especially those supporting rare, threatened or endangered species of flora and fauna)?		<p>If "Yes": Exclude from project</p> <p>If "No": Proceed to next question</p>
<b>ESS8</b>		
27. Is the subproject to be located within or adjacent to a sensitive site (historical or archaeological or culturally significant site) or facility?		<p>If "Yes": Refer and apply the Physical and Cultural Change Find Procedure (Annex 9) and SEP</p> <p>If "No": Proceed to next question</p>
28. Locate near buildings, sacred trees or objects having spiritual values to local communities (e.g. memorials, graves or stones) or require excavation near there?		<p>If "Yes": Refer and apply the Physical and Cultural Change Find Procedure (Annex 9) and SEP</p> <p>If "No": Proceed to next question</p>

*"disadvantaged or vulnerable" refers to those individuals or groups who, by virtue of, for example, their age, gender, ethnicity, religion, physical, mental or other disability, social, civic or health status, sexual orientation, gender identity, economic disadvantages or ethnic peoples status, and/or dependence on unique natural resources, may be more likely to be adversely affected by the project impacts and/or more limited than others in their ability to take advantage of a project's benefits.*

### 3. Conclusion

Based on the result from the screening above, please list the E&S risk management instruments to be prepared / adopt and implemented:

- a)
- b)
- c)

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